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INTELLECTUAL PROPERTY LAW

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**U.S. MAILING ADDRESS**  
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SANDY, UTAH 84091-1219

**LAS VEGAS AREA OFFICE**  
OF COUNSEL: NEIL J. BELLER †  
7408 WEST SAHARA  
LAS VEGAS, NEVADA 89117  
702.368.7767  
† ADMITTED IN NEVADA

VAUGHN W. NORTH  
M. WAYNE WESTERN  
CLIFTON W. THOMPSON\*  
GARRON M. HOBSON  
PETER M. DE JONGE  
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KEITH HARGROVE  
JASON R. JONES  
REGISTERED PATENT ATTORNEYS  
\*ADMITTED IN CALIFORNIA ONLY  
CALVIN E. THORPE  
(1938-1999)

July 16, 2002

Commissioner of Patents and Trademarks  
ATTENTION: FINANCE DEPARTMENT  
Washington, D.C. 20231

RE: S/N 010/075,936  
American Technology Corporation  
Deposit Account 20-0100  
Our Docket No. T9574.NP

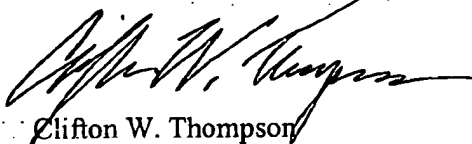
Dear Sirs:

On May 21, 2002 our deposit account was debited \$375 (code 202) for independent claims in excess of three. This was in error, please see photocopy of check for \$538 which accompanied Response to Notice to File Missing Parts of Patent Application (page 2) sent to the USPTO office on 4-19-02, which sets out fee calculations.

We would appreciate your crediting our deposit account 20-0100 (see enclosed Monthly Statement of Deposit Account with charge) back in the amount of \$375. Please feel free to contact the undersigned should you have any questions.

Very truly yours,

THORPE NORTH & WESTERN, L.L.P.

  
Clifton W. Thompson

01/17/2003 SCARMICH 00000001 10075936

01 FC:2202 CWT:ja 45.00 OP  
enc.

H:\FILES\T9000\T9574\T9574-refund dep acc ltr 7-16-02.wpd

Adjustment date: 01/17/2003 SCARMICH  
05/21/2002 SSALEEKU 00000004 200100 10075936  
02 FC:202 375.00 CR -45.00 OP



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
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THORPE NORTH & WESTERN  
CALVIN E. THORPE  
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FINA

Account No.	200100
Date	5-31-02
Page	1

SANDY UT 84091-1219

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					All Rite Products			
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Thorpe, North & Western								
202 - Independent claims in excess of three								
581 - Recording each Patent Assignment, Agreement or other paper for property								
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.				OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE	
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14280

31-5/1240  
19

4/19/2002

PAY TO THE  
ORDER OF

Commissioner of Patents and Trademarks

\$ \*\*538.00

Five Hundred Thirty-Eight and 00/100\*\*\*\*\* DOLLARS

MEMO

T9574.NP Filing Fee

⑈014280⑈ ⑆124000054⑆ 019 01311 9⑈

Commissioner of Patents and Trad

Please acknowledge receipt of:

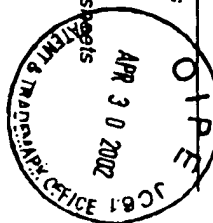
☐ Patent Application  
☐ Drawings \_\_\_\_\_ sheets  
☐ Informal ☐ Formal

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☒ Check No. 14280 for \$ 538.00  
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☐ Extension of time petition  
☒ Missing Parts Response  
☐ Issue fee transmittal & advance order  
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☐ Request for PCT  
☐ Demand for PCT examination  
☐ PCT Fees  
☐ Other \_\_\_\_\_

Patent

Cash-Zions

T9574.NP I



File No. T9574.NP Client's cert (ATC)  
Serial No. 101075936 Atty cert  
Date Mailed: 4-19-02

Please acknowledge receipt of:

☐ Patent Application  
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☐ Informal ☐ Formal

☒ Certificate of Mailing  
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☐ Issue fee transmittal & advance order  
☐ Maintenance fee transmittal  
☐ Request for PCT  
☐ Demand for PCT examination  
☐ PCT Fees  
☐ Other \_\_\_\_\_

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Croft III, et al.

SERIAL NO.: 10/075,936

FILED: 1/25/02

FOR: PLANAR MAGNETIC SPEAKERS WITH  
SECONDARY MAGNETIC STRUCTURE

ART UNIT:

EXAMINER:

DOCKET NO.: T9574.NP

CERTIFICATE OF DEPOSIT UNDER 37  
C.F.R. 1.8

I hereby certify that this paper or fee is being  
deposited with the United States Postal Service  
under 37 C.F.R. § 1.8 on the date indicated  
above and is addressed to: Box Missing Parts,  
Assistant Commissioner for Patents,  
Washington, DC 20231.

*Judy Anderson*  
Judy Anderson  
Dated 4-19-02

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
PATENT APPLICATION Filing Date Granted

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 19, 2002, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- X An Assignment from the inventors to AMERICAN TECHNOLOGY CORPORATION.
- X A Verified Statement Claiming Small Entity Status signed by an officer of American Technology Corporation;
- X A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- X Inventor Declaration and Petition;
- X Power of Attorney signed by officer of American Technology Corporation;

Assistant Commissioner for Patents

Page 2

X Check in the amount of \$538.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

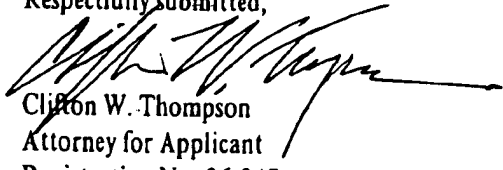
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$740
TOT. CLAIMS	-20 = 27	7	x 9 =	\$ 63	x 18 =	\$
IND. CLAIMS	-03 = 3	0	x 42 =	\$	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$280		\$280	
ASSIGNMENT FILING FEE			\$ 40	\$ 40	\$ 40	
SURCHARGE FEE				\$ 65		
			TOTAL	\$ 538	TOTAL	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 19<sup>th</sup> day of April, 2002.

Respectfully submitted,

  
Clifton W. Thompson

Attorney for Applicant

Registration No. 36,947

THORPE, NORTH & WESTERN, L.L.P.

P.O. Box 1219

Sandy, Utah 84091-1219

Telephone (801) 566-6633

CT:ja

Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James J. Croft III, et al.

SERIAL NO.: 10/075,936

FILED: 1-25-02

FOR: PLANAR-MAGNETIC SPEAKERS WITH  
SECONDARY MAGNETIC STRUCTURE

ART UNIT: 2838

DOCKET NO.: T9574.NP

CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

*Judith Anderson*

7-16-02

Date of Deposit

REQUEST FOR  
CORRECTION OF FILING RECEIPT DOCUMENT

Initial Patent Examination's Customer Service Center  
Assistant Commissioner for Patents  
Washington, DC 20231  
Sirs:

This communication is filed in response to the Filing Receipt Document dated 4-19-02. Please correct the following:

Total individual claims are 3.  
This application was filed 1-25-02

A copy of the Filing Receipt Document, with marked corrections, is enclosed.

DATED this 16th day of July, 2002.

Respectfully submitted,

*Clinton W. Thompson*

Clinton W. Thompson  
Attorney for Applicant  
Registration No. 36,947  
Customer No. 20,551

THORPE, NORTH & WESTERN, L.L.P.  
P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone (801) 566-6633

CWT:ja  
enclosure

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Page 1 of 2



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/075,936	01/25/2002	2838	0.00	T9574.NP	16	22	13 3

CONFIRMATION NO. 2517

Clifton W. Thompson  
THORPE, NORTH & WESTERN L.L.P.  
P.O. Box 1219  
Sandy, UT 84091-1219

FILING RECEIPT



\*OC000000007919155\*

Date Mailed: 04/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James J. Croft III, Poway, CA;  
David Graebener, Carson City, NV;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/264,474 01/26/2001

Foreign Applications

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: ~~Not Applicable, filed prior to November 29, 2000~~ 3. Filed 1-25-02

Non-Publication Request: No

Early Publication Request: No

Title

Planar-magnetic speakers with secondary magnetic structure

Preliminary Class

323